



Relations (revisited)

Properties of Relations

- **Definitions:** Let R be a relation on a set A (i.e., $R \subseteq A \times A$).
 - R is said to be **reflexive** if for every $x \in A$, $(x, x) \in R$.
 - R is said to be **irreflexive** if for every $x \in A$, $(x, x) \notin R$.
 - R is said to be **symmetric** if for every $(x, y) \in R$, $(y, x) \in R$, i.e.,
$$(\forall x)(\forall y) ((x, y) \in R \rightarrow (y, x) \in R).$$
 - R is said to be **antisymmetric** if
$$(\forall x)(\forall y) ((x, y) \in R \wedge (y, x) \in R \rightarrow x = y).$$
 - R is said to be **asymmetric** if
$$(\forall x)(\forall y) ((x, y) \in R \rightarrow (y, x) \notin R).$$
 - R is said to be **transitive** if
$$(\forall x)(\forall y)(\forall z) ((x, y) \in R \wedge (y, z) \in R \rightarrow (x, z) \in R).$$

Properties of Relations

- Example:

For $R = \{(a, b), (b, a)\}$ to be transitive, we need to add (a, a) and (b, b) .

- Note:

- If R is asymmetric then R is irreflexive.
- If R is asymmetric then R is antisymmetric.

Properties of Relations

■ **Theorem:** Let R be a relation on a set A . Then,

□ R is **reflexive** iff $E_A \subseteq R$.

□ R is **irreflexive** iff $R \cap E_A = \emptyset$.

□ R is **symmetric** iff $R = R^c$.

Ex) $R = \{(a, b), (b, a)\}$ is symmetric.

Then, $R^c = \{(b, a), (a, b)\}$ and $R = R^c$.

□ R is **antisymmetric** iff $R \cap R^c \subseteq E_A$.

Ex) $R = \{(a, a), (a, b)\}$ is antisymmetric.

Then, $R^c = \{(a, a), (b, a)\}$ and $R \cap R^c = \{(a, a)\}$.

Properties of Relations

- Theorem: Let R be a relation on a set A . Then,
 - R is **asymmetric** iff $R \cap R^c = \emptyset$.
 - R is **transitive** iff $R \circ R \subseteq R$.

Ex) $R = \{(a, b), (b, c), (a, c)\}$ is transitive,
and $R \circ R = \{(a, c)\} \subseteq R$

Relations and Graphs

■ Definition:

Let R be a binary relation on a set A . Then (A, R) is called a **directed graph**, or **digraph**.

■ Terminologies:

For a graph (A, R) ,

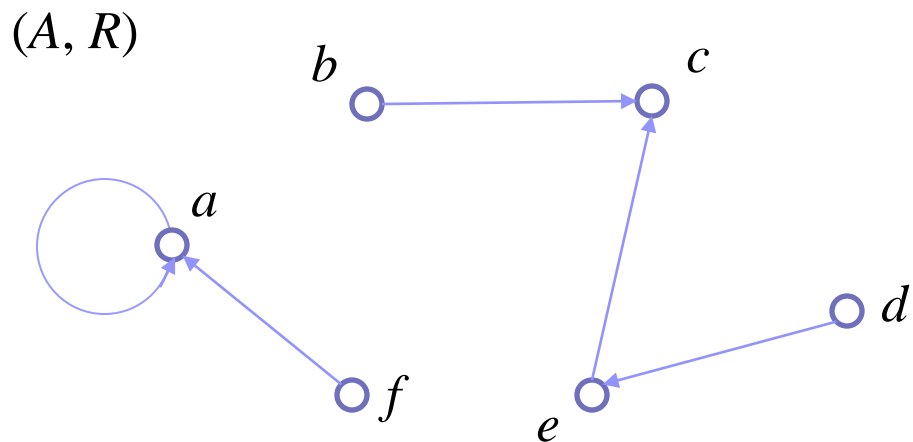
- A is called a set of **nodes**.
- R is called a set of **arcs** or a set of **edges**.

Relations and Graphs

■ Example:

□ $A = \{a, b, c, d, e, f\}$

□ $R = \{(a, a), (b, c), (d, e), (e, c), (f, a)\}$

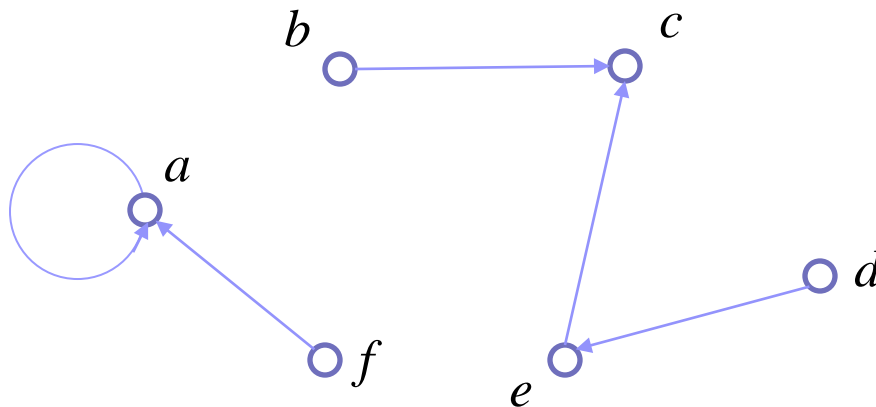


Relations and Graphs

■ Definitions: Let (A, R) be a digraph.

- A sequence of nodes x_0, x_1, \dots, x_n is called a **walk** if $(x_i, x_{i+1}) \in R$ for all $0 \leq i < n$, where n is the **length** of the walk.

Ex) $faaa$ is a walk. $aaaf$ is not a walk. $aaafe$ is not a walk.

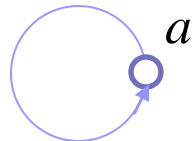


A node is a walk of length 0.

Relations and Graphs

- Definitions: Let (A, R) be a digraph.
 - A walk x_0, x_1, \dots, x_n is called a **path** if $x_i \neq x_j$ for $i \neq j$, $0 \leq i, j \leq n$.
 - A walk x_0, x_1, \dots, x_n is called a **cycle** if $x_i \neq x_j$ for $i \neq j$, $0 \leq i, j \leq n$ except that $x_0 = x_n$.
 - A cycle of length 1 is called a **loop**.
 - A cycle of length 2 is called a **sling**.

loop aa



sling aba or bab



Relations and Graphs

- **Theorem:** Let $G = (A, R)$ be a directed graph.
 - R is reflexive iff G contains a loop at every node.
 - R is irreflexive iff G has no loop.
 - R is symmetric iff for every edge $(x, y) \in R$ there is a sling between the nodes x and y .
 - R is antisymmetric iff G has no sling.
 - R is asymmetric iff G has no sling and no loop.
 - R is transitive iff there is an edge between two nodes x and y whenever there is a path of length two between x and y .

Closures

■ Example:

Let $A = \{a, b, c\}$ and $R = \{(a, b), (c, a)\}$. To make R reflexive, we need to add **at least** three tuples (a, a) , (b, b) , and (c, c) .

■ Definition: If R is a relation on a set A then the reflexive (symmetric, transitive) **closure** of R is a relation R' such that

1. R' is reflexive (symmetric, transitive)
2. $R \subseteq R'$
3. If R'' is another reflexive (symmetric, transitive) relation and $R \subseteq R''$, then $R' \subseteq R''$.

Closures

- Notations:

Reflexive, symmetric, and transitive closure of R will be denoted by $r(R)$, $s(R)$, and $t(R)$, respectively.

- **Theorem:** Let R be a relation on a set A . Then,

(a) $r(R) = R \cup E_A$.

(b) $s(R) = R \cup R^c$.

(c) $t(R) = \bigcup_{i=1}^{\infty} R^i$.

Closures

■ *Proof* of (a) $r(R) = R \cup E_A$

1. $R \cup E_A$ is obviously reflexive.

2. $R \subseteq R \cup E_A$

3. Let R'' be a reflexive relation such that $R \subseteq R''$.

We need to show that $R \cup E_A \subseteq R''$.

Since R'' is reflexive, $E_A \subseteq R''$.

But $R \subseteq R''$, and thus $R \cup E_A \subseteq R''$.

Since $R \cup E_A$ satisfies all the three conditions in the definition of the reflexive closure of R , $R \cup E_A$ is the reflexive closure of R , i.e., $r(R) = R \cup E_A$. \square

Closures

■ *Proof* of (b) $s(R) = R \cup R^c$

1. $R \cup R^c$ is symmetric because for every $(x, y) \in R \cup R^c$, $(y, x) \in R \cup R^c$.
2. $R \subseteq R \cup R^c$
3. Let R'' be a symmetric relation on A such that $R \subseteq R''$.

We must show that $R \cup R^c \subseteq R''$.

$R \subseteq R''$ is given.

Since R'' is symmetric, $R^c \subseteq R''$.

- Let $(x, y) \in R^c$. Then $(x, y) \in R$. Since $R \subseteq R''$, $(x, y) \in R''$. But, R'' is symmetric and so $(y, x) \in R''$. Therefore, $R^c \subseteq R''$. \square

Closures

- **Lemma:** Let R be a relation on a set A . Then,

$$R^n \subseteq t(R), \text{ for all } n \geq 1.$$

- *Proof:*

(Basis Step) For $n = 1$,

$R \subseteq t(R)$ by the definition of $t(R)$.

(Inductive step)

Let $R^n \subseteq t(R)$.

We want to prove that $R^{n+1} \subseteq t(R)$.

Note that $R^{n+1} = R \circ R^n$.

Closures

■ *Proof:*

Since $R \subseteq t(R)$, $R^n \subseteq t(R)$, and $t(R)$ is transitive, $R \circ R^n \subseteq t(R)$.

■ Let $(x, z) \in R \circ R^n$.

There must exist a y such that $(x, y) \in R$ and $(y, z) \in R^n$.

But $R \subseteq t(R)$ and $R^n \subseteq t(R)$.

Hence $(x, y) \in t(R)$ and $(y, z) \in t(R)$.

Since $t(R)$ is transitive, $(x, z) \in t(R)$.

Therefore, $R \circ R^n \subseteq t(R)$.

Therefore, $R^n \subseteq t(R)$ for all $n \geq 1$. \square

Closures

- *Proof* of (c) $t(R) = \bigcup_{i=1}^{\infty} R^i$ of the above theorem

By the previous lemma $R^n \subseteq t(R)$ for all $n \geq 1$.

Thus, $\bigcup_{i=1}^{\infty} R^i \subseteq t(R)$.

Now we must show that $t(R) \subseteq \bigcup_{i=1}^{\infty} R^i$.

Obviously, $R \subseteq \bigcup_{i=1}^{\infty} R^i$.

All that remains to be shown now is that $\bigcup_{i=1}^{\infty} R^i$ is transitive.

Let $(x, y) \in \bigcup_{i=1}^{\infty} R^i$ and $(y, z) \in \bigcup_{i=1}^{\infty} R^i$.

Since $(x, y) \in \bigcup_{i=1}^{\infty} R^i$, there must exist an s such that $(x, y) \in R^s$.

Similarly, there must exist a t such that $(y, z) \in R^t$.

Then, $(x, z) \in R^{s+t}$ and $R^{s+t} \subseteq \bigcup_{i=1}^{\infty} R^i$.

Closures

- *Proof* of (c) of the above theorem

Thus, $(x, z) \in \bigcup_{i=1}^{\infty} R^i$.

Therefore, $\bigcup_{i=1}^{\infty} R^i$ is transitive.

□

- **Theorem:** Let R be a binary relation. Then,

(a) R is reflexive iff $r(R) = R$.

(b) R is symmetric iff $s(R) = R$.

(c) R is transitive iff $t(R) = R$.

Closures

■ *Proof* of (a)

(if part): R is reflexive if $r(R) = R$.

Assume $r(R) = R$.

Since the reflexive closure is reflexive, R is obviously reflexive.

(only if part): R is reflexive only if $r(R) = R$.

Assume R is reflexive.

Since $R \subseteq r(R)$ and R is reflexive, $r(R) \subseteq R$ by the definition of the reflexive closure.

But $R \subseteq r(R)$ also by the definition of the reflexive closure.

Therefore, $R = r(R)$. \square

Closures

- **Theorem:** Let R be a binary relation.
 - (a) If R is reflexive then so are $s(R)$ and $t(R)$.
 - (b) If R is symmetric then so are $r(R)$ and $t(R)$.
 - (c) If R is transitive then so is $r(R)$.

- **An Example** of an R which is transitive and $s(R)$ is not:
 - $R = \{(a, b)\}$ is transitive.
 - $s(R) = R \cup R^c = \{(a, b), (b, a)\}$ is not transitive.

Closures

■ *Proof* of (a)

Assume R is a reflexive relation.

We prove that $s(R)$ is reflexive.

Since R is reflexive, $E \subseteq R$.

We know by the definition of $s(R)$ that $R \subseteq s(R)$.

Thus, $E \subseteq s(R)$.

Therefore, $s(R)$ is reflexive.

We can similarly show that $t(R)$ is reflexive.

□

Closures

■ **Theorem:** Let R be a binary relation.

(a) $rs(R) = sr(R)$.

(b) $rt(R) = tr(R)$.

(c) $st(R) \subseteq ts(R)$.

■ *Proof* of (a)

$$\begin{aligned}rs(R) &= r(R \cup R^c) = R \cup R^c \cup E = R \cup R^c \cup E \cup E \\&= R \cup R^c \cup E \cup E^c = (R \cup E) \cup (R^c \cup E^c) \\&= (R \cup E) \cup (R \cup E)^c \\&= s(R \cup E) \\&= sr(R) \quad \square\end{aligned}$$

Closures

- **Lemma:** Let R_1 and R_2 be two relations.

If $R_1 \subseteq R_2$, then $s(R_1) \subseteq s(R_2)$ and $t(R_1) \subseteq t(R_2)$.

- **Proof of (c) $st(R) \subseteq ts(R)$**

$R \subseteq s(R)$ by the definition of the closure.

$t(R) \subseteq ts(R)$ by the above lemma.

$st(R) \subseteq sts(R)$ again by the above lemma.

Since $s(R)$ is symmetric, $ts(R)$ is symmetric by the previous theorem.

Closures

- *Proof of (c) $st(R) \subseteq ts(R)$*

Since $ts(R)$ is symmetric, it must be equal to its symmetric closure, by one of the previous theorems.

Hence, $sts(R) = st(R)$.

Therefore $st(R) \subseteq ts(R)$. \square

- **A counter example for $ts(R) \subseteq st(R)$.**

Let $R = \{(a, b)\}$.

Then, $t(R) = \{(a, b)\}$ and $st(R) = \{(a, b), (b, a)\}$.

Also, $s(R) = \{(a, b), (b, a)\}$ and $ts(R) = \{(a, b), (b, a), (a, a), (b, b)\}$.

We can see that $ts(R) \not\subseteq st(R)$.

Cardinality

■ Definitions:

- A set A has a **cardinality** n , denoted by $|A| = n$, if there exists a bijection from the set of the first n positive integers to A .
- A set is said to be **finite** if it has a cardinality n , where n is a positive integer.
- A set is said to be **infinite** if it is not finite.
- A set A is said to be **denumerable** or **countably infinite** if there exists a bijection from the set of all positive integers to the set A .
- A set is said to be **countable** if it is finite or countably infinite.

Cardinality

■ Example:

$$\mathbf{N} = \{0, 1, 2, 3, \dots\}.$$

Let $f: \mathbf{Z}^+ \rightarrow \mathbf{N}$, where $f(a) = a - 1$ for $a \in \mathbf{Z}^+$.

Since f is a bijection, \mathbf{N} is countably infinite.

■ Example:

□ $\mathbf{E} = \{0, 2, 4, 6, \dots\}.$

□ Let $f: \mathbf{Z}^+ \rightarrow \mathbf{E}$, where $f(a) = 2(a - 1)$ for $a \in \mathbf{Z}^+$.

□ Since f is a bijection, \mathbf{E} is countably infinite.

Cardinality

■ **Theorem:** The set $[0, 1]$ is not denumerable.

■ *Proof* (by a **diagonalization argument**)

Suppose the set $[0, 1]$ is denumerable.

Then, there exists a bijection $f: \mathbf{Z}^+ \rightarrow [0, 1]$.

Suppose we enumerate f as shown in the following table.

\mathbf{Z}^+	$[0, 1]$
1	$0 . x_{11} x_{12} x_{13} \cdots$
2	$0 . x_{21} x_{22} x_{23} \cdots$
3	$0 . x_{31} x_{32} x_{33} \cdots$
\vdots	\vdots

Cardinality

- *Proof* (by a diagonalization argument)

Now, take a number $0.y_1y_2y_3 \cdots$, where $y_i \neq x_{ii}$.

This number is not the same as any number in the table.

So f is not surjective, which is a contradiction.

Therefore, there does not exist a bijection from \mathbf{Z}^+ to $[0, 1]$, and so $[0, 1]$ is not denumerable.

□

Cardinality

■ Implications:

- We can see that $|\mathbf{Z}^+| \neq |\mathbf{R}|$.

In fact, $|\mathbf{Z}^+| < |\mathbf{R}|$.

Is there anything in-between? That is still an open question.

- $|\mathbf{R}| = |\wp(\mathbf{Z}^+)| = 2^{|\mathbf{Z}^+|}$
- $2^{|\mathbf{R}|}$ is yet another infinity.

■ Theorem:

Let A be an infinite set and let $\wp(A)$ be the power set of A . Then,

$$|A| < |\wp(A)| = 2^{|A|}.$$